

Form No. MGT-7



Form language

English Hindi

Annual Return (other than OPCs and Small Companies)

[Pursuant to sub-section (1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]

All fields marked in * are mandatory

Refer instruction kit for filing the form

I REGISTRATION AND OTHER DETAILS

i *Corporate Identity Number (CIN)

U65922TN1995PTC030293

ii (a) *Financial year for which the annual return is being filed (From date) (DD/MM/YYYY)

01/04/2024

(b) *Financial year for which the annual return is being filed (To date) (DD/MM/YYYY)

31/03/2025

(c) *Type of Annual filing

Original

Revised

(d) SRN of MGT-7 filed earlier for the same financial years

iii

Particulars	As on filing date	As on the financial year end date
Name of the company	SVASTI MICROFINANCE PRIVATE LIMITED	SVASTI MICROFINANCE PRIVATE LIMITED
Registered office address	6, First Floor,First Street,Tiger Varadachari Road Kalakshetra Colony,Besant Nagar,NA,Chennai,Chennai,Tamil Nadu,India,600090	6, First Floor,First Street,Tiger Varadachari Road Kalakshetra Colony,Besant Nagar,NA,Chennai,Chennai,Tamil Nadu,India,600090
Latitude details	12.994741	12.994741
Longitude details	80.268131	80.268131

(a) *Photograph of the registered office of the Company showing external building and name prominently visible

Photograph of Registered Office With Name.jpeg

(b) *Permanent Account Number (PAN) of the company

AA*****4L

(c) *e-mail ID of the company

*****anan@svasti.in

(d) *Telephone number with STD code

98*****20

(e) Website

www.svasti.in

iv *Date of Incorporation (DD/MM/YYYY)

23/02/1995

v (a) *Class of Company (as on the financial year end date)

(Private company/Public Company/One Person Company)

Private company

(b) *Category of the Company (as on the financial year end date)

(Company limited by shares/Company limited by guarantee/Unlimited company)

Company limited by shares

(c) *Sub-category of the Company (as on the financial year end date)

(Indian Non-Government company/Union Government Company/State Government Company/
Guarantee and association company/Subsidiary of Foreign Company)

Non-government company

vi *Whether company is having share capital (as on the financial year end date)

Yes

No

vii (a) Whether shares listed on recognized Stock Exchange(s)

Yes

No

(b) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code

viii Number of Registrar and Transfer Agent

CIN of the Registrar and Transfer Agent	Name of the Registrar and Transfer Agent	Registered office address of the Registrar and Transfer Agents	SEBI registration number of Registrar and Transfer Agent

ix * (a) Whether Annual General Meeting (AGM) held

Yes

No

(b) If yes, date of AGM (DD/MM/YYYY)

30/09/2025

(c) Due date of AGM (DD/MM/YYYY)

30/09/2025

(d) Whether any extension for AGM granted

Yes

No

(e) If yes, provide the Service Request Number (SRN) of the GNL-1 application form filed for extension

(f) Extended due date of AGM after grant of extension (DD/MM/YYYY)

(g) Specify the reasons for not holding the same

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II PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

i *Number of business activities

1

S. No.	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance activities	66	Other financial activities	100

III PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

i *No. of Companies for which information is to be given

0

S. No.	CIN /FCRN	Other registration number	Name of the company	Holding/ Subsidiary/Associate/Jo int Venture	% of shares held

IV SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

i SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised Capital	Issued capital	Subscribed capital	Paid Up capital
Total number of equity shares	6497737	4416309	4416309	4416309
Total amount of equity shares (in rupees)	64977370.00	44163090.00	44163090.00	44163090.00

Number of classes

1

Class of shares	Authorised Capital	Issued capital	Subscribed Capital	Paid Up capital
NIL				
Number of equity shares	6497737	4416309	4416309	4416309
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	64977370.00	44163090.00	44163090.00	44163090.00

(b) Preference share capital

Particulars	Authorised Capital	Issued capital	Subscribed capital	Paid Up capital
Total number of preference shares	732263	732263	732263	732263
Total amount of preference shares (in rupees)	7322630.00	7322630.00	7322630.00	7322630.00

Number of classes

1

Class of shares	Authorised Capital	Issued capital	Subscribed Capital	Paid Up capital
0.01%				
Number of preference shares	732263	732263	732263	732263
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	7322630.00	7322630.00	7322630.00	7322630.00

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Particulars	Number of shares			Total Nominal Amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
(i) Equity shares						
At the beginning of the year	1528270	2238564	3766834.00	37668340	37668340	
Increase during the year	0.00	649475.00	649475.00	6494750.00	6494750.00	
i Public Issues	0	0	0.00	0	0	
ii Rights issue	0	0	0.00	0	0	
iii Bonus issue	0	0	0.00	0	0	
iv Private Placement/ Preferential allotment	0	0	0.00	0	0	
v ESOPs	0	0	0.00	0	0	
vi Sweat equity shares allotted	0	0	0.00	0	0	
vii Conversion of Preference share	0	649475	649475.00	6494750	6494750	
viii Conversion of Debentures	0	0	0.00	0	0	
ix GDRs/ADRs	0	0	0.00	0	0	
x Others, specify <input type="text" value="NIL"/>	0	0	0.00	0	0	
Decrease during the year	0.00	0.00	0.00	0.00	0.00	
i Buy-back of shares	0	0	0.00	0	0	
ii Shares forfeited	0	0	0.00	0	0	
iii Reduction of share capital	0	0	0.00	0	0	
iv Others, specify <input type="text" value="NIL"/>	0	0	0.00	0	0	
At the end of the year	1528270.00	2888039.00	4416309.00	44163090.00	44163090.00	
(ii) Preference shares						
At the beginning of the year	0	1533480	1533480.00	15334800	15334800	
Increase during the year	0.00	0.00	0.00	0.00	0.00	

Particulars	Number of shares			Total Nominal Amount	Total Paid-up amount	Total premium
i Issues of shares	0	0	0.00	0	0	
ii Re-issue of forfeited shares	0	0	0.00	0	0	
iii Others, specify <input type="text" value="NIL"/>	0	0	0.00	0	0	
Decrease during the year	0.00	801217.00	801217.00	8012170.00	8012170.00	
i Redemption of shares	0	0	0.00	0	0	
ii Shares forfeited	0	0	0.00	0	0	
iii Reduction of share capital	0	0	0.00	0	0	
iv Others, specify <input type="text" value="Conversion of 12% CCPS into Equity Shares"/>	0	801217	801217.00	8012170	8012170	
At the end of the year	0.00	732263.00	732263.00	7322630.00	7322630.00	

ISIN of the equity shares of the company

ii Details of stock split/consolidation during the year (for each class of shares)

Class of shares		<input type="text"/>
Before split / Consolidation	Number of shares	
	Face value per share	
After split / consolidation	Number of shares	
	Face value per share	

iii Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company)

Nil

Number of transfers

Attachments:

1. Details of shares/Debentures Transfers

Transfer Details.xlsm

iv Debentures (Outstanding as at the end of financial year)**(a) Non-convertible debentures**

*Number of classes

12

Classes of non-convertible debentures	Number of units	Nominal value per unit	Total value (Outstanding at the end of the year)
13.60%	150	1000000	150000000.00
13.00%	4001250	100	400125000.00
12.91%	43050	10000	430500000.00
13.95%	150	1000000	150000000.00
14.50%	300	104166.67	31250001.00
14.00%	2000	47500	95000000.00
14.00%	200	395833.32	79166664.00
12.70%	420	1000000	420000000.00
16.00%	150	1000000	150000000.00
0	0	0	0.00
0	0	0	0.00
0	0	0	0.00
Total	4047670.00	4557599.99	1906041665.00

Classes of non-convertible debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
13.62%	150000000	0	150000000	0.00
13.60%	150000000	0	0	150000000.00
11.75%	224085000	0	224085000	0.00
13.20%	109999996	0	109999996	0.00

13.00%	400125000	0	0	400125000.00
12.91%	430500000	0	0	430500000.00
13.95%	150000000	0	0	150000000.00
14.50%	106250000	0	75000000	31250000.00
14.00%	184700000	0	89700000	95000000.00
14.00%	0	100000000	20833336	79166664.00
12.70%	0	420000000	0	420000000.00
16.00%	150000000	0	0	150000000.00
Total	2055659996.00	520000000.00	669618332.00	1906041664.00

(b) Partly convertible debentures

*Number of classes

0

Classes of partly convertible debentures	Number of units	Nominal value per unit	Total value (Outstanding at the end of the year)
Total			

Classes of partly convertible debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Total				

(c) Fully convertible debentures

*Number of classes

0

Classes of fully convertible debentures	Number of units	Nominal value per unit	Total value (Outstanding at the end of the year)
Total			

Classes of fully convertible debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Total				

(d) Summary of Indebtedness

Particulars	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	2055659996.00	520000000.00	669618332.00	1906041664.00
Partly convertible debentures				
Fully convertible debentures				
Total	2055659996.00	520000000.00	669618332.00	1906041664.00

v Securities (other than shares and debentures)

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total	0.00		0.00		0.00

V Turnover and net worth of the company (as defined in the Companies Act, 2013)

i *Turnover

ii * Net worth of the Company

VI SHARE HOLDING PATTERN

A Promoters

S. No	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1	Individual/Hindu Undivided Family				
	(i) Indian	338080	7.66	0	0.00
	(ii) Non-resident Indian (NRI)	0	0.00	0	0.00
	(iii) Foreign national (other than NRI)	0	0.00	0	0.00
2	Government				
	(i) Central Government	0	0.00	0	0.00
	(ii) State Government	0	0.00	0	0.00
	(iii) Government companies	0	0.00	0	0.00
3	Insurance companies	0	0.00	0	0.00
4	Banks	0	0.00	0	0.00
5	Financial institutions	0	0.00	0	0.00
6	Foreign institutional investors	0	0.00	0	0.00
7	Mutual funds	0	0.00	0	0.00
8	Venture capital	0	0.00	0	0.00
9	Body corporate (not mentioned above)	8000	0.18	0	0.00
10	Others				
	Svasti MSOP Trust	200000	4.53	0	0.00
	Total	546080.00	12.37	0.00	0.00

Total number of shareholders (promoters)

4

B Public/Other than promoters

S. No	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1	Individual/Hindu Undivided Family				
	(i) Indian	1535636	34.77	0	0.00
	(ii) Non-resident Indian (NRI)	0	0.00	0	0.00
	(iii) Foreign national (other than NRI)	0	0.00	0	0.00
2	Government				
	(i) Central Government	0	0.00	0	0.00
	(ii) State Government	0	0.00	0	0.00
	(iii) Government companies	0	0.00	0	0.00
3	Insurance companies	0	0.00	0	0.00
4	Banks	0	0.00	0	0.00
5	Financial institutions	0	0.00	0	0.00
6	Foreign institutional investors	1006422	22.79	732263	100.00
7	Mutual funds	0	0.00	0	0.00
8	Venture capital	0	0.00	0	0.00
9	Body corporate (not mentioned above)	1151962	26.08	0	0.00
10	Others	176209	3.99	0	0.00
	LLP and Trust				
	Total	3870229.00	87.63	732263.00	100.00

Total number of shareholders (other than promoters)

62

Total number of shareholders (Promoters + Public/Other than promoters)

66.00

Breakup of total number of shareholders (Promoters + Other than promoters)

Sl.No	Category	
1	Individual - Female	9
2	Individual - Male	40
3	Individual - Transgender	0
4	Other than individuals	17
	Total	66.00

C Details of Foreign institutional investors' (FIIs) holding shares of the company

3

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
Nordic Microfinance Initiative Fund III KS	POB 1925, VIKA, N0125 OSLO, NORWAY	28/06/2013	Norway	928422	21.02
Nordic Microfinance Initiative Fund III KS	POB 1925, VIKA, N0125 OSLO, NORWAY	28/06/2013	Norway	732263	100
Kayenne Ventures	PHILLIP STREET #10-02, ROYAL GROUP BULDING, Singapore	03/09/2019	Singapore	78000	1.77

VII NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS

[Details of Promoters, Members (other than promoters), Debenture holders]

Details	At the beginning of the year	At the end of the year
Promoters	4	4
Members (other than promoters)	63	62
Debenture holders	10	9

VIII DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A Promoter	2	0	2	0	6.56	0
B Non-Promoter	0	2	0	2	0.00	0.00
i Non-Independent	0	0	0	0	0	0
ii Independent	0	2	0	2	0	0
C Nominee Directors representing	0	1	0	1	0.00	0.00
i. Banks and FIs	0	0	0	0	0	0
ii Investing institutions	0	1	0	1	0	0
iii Government	0	0	0	0	0	0
iv Small share holders	0	0	0	0	0	0
v Others	0	0	0	0	0	0
Total	2	3	2	3	6.56	0.00

*Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

6

B (i) Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity shares held	Date of cessation (after closure of financial year : If any) (DD/MM/YYYY)
ARUNKUMAR PADMANABHAN	01890656	Whole-time director	169040	
BHAGAVATHI NARAYANAN SUBRAMANIAM	01216715	Whole-time director	169040	
RAMANATHAN ANNAMALAI	02645247	Director	0	
JAYA JANARDANAN	02879534	Director	0	
JAGRITI BHANDARI	09802981	Nominee Director	0	

VARSHA MAHENDRA WAGHELA	ACFPW1556L	Company Secretary	0	
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B (ii) *Particulars of change in director(s) and Key managerial personnel during the year

3

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation (DD/MM/YYYY)	Nature of change (Appointment/ Change in designation/ Cessation)
MANATHATTAI NARAYANAN VENKATESAN	02126022	Director	01/04/2024	Cessation
JAYA JANARDANAN	02879534	Additional Director	10/06/2024	Appointment
JAYA JANARDANAN	02879534	Director	30/09/2024	Change in designation

IX MEETINGS OF MEMBERS/CLASS OF MEMBERS/ BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

*Number of meetings held

3

Type of meeting	Date of meeting (DD/MM/YYYY)	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Extra Ordinary General Meeting	24/09/2024	66	6	9.09
Extra Ordinary General Meeting	13/12/2024	66	7	10.61
Annual General Meeting	30/09/2024	66	6	9.09

B BOARD MEETINGS

*Number of meetings held

10

S.No	Date of meeting (DD/MM/YYYY)	Total Number of directors as on the date of meeting	Attendance	
			Number of directors attended	% of attendance

1	30/05/2024	4	4	100.00
2	10/06/2024	4	4	100.00
3	30/07/2024	5	5	100.00
4	23/08/2024	5	5	100.00
5	06/09/2024	5	4	80.00
6	13/09/2024	5	4	80.00
7	07/11/2024	5	5	100.00
8	03/12/2024	5	5	100.00
9	17/12/2024	5	5	100.00
10	27/03/2025	5	4	80.00

C COMMITTEE MEETINGS

Number of meetings held

51

S.No	Type of meeting	Date of meeting (DD/MM/YYYY)	Total Number of Members as on the date of meeting	Attendance	
				Number of members attended	% of attendance
1	Finance Committee Meeting	27/04/2024	4	4	100.00
2	Finance Committee Meeting	20/05/2024	4	3	75.00
3	Finance Committee Meeting	29/05/2024	4	4	100.00
4	Finance Committee Meeting	15/06/2024	4	4	100.00
5	Finance Committee Meeting	29/06/2024	4	4	100.00
6	Finance Committee Meeting	28/08/2024	4	4	100.00
7	Finance Committee Meeting	05/09/2024	4	3	75.00
8	Finance Committee Meeting	14/09/2024	4	3	75.00

9	Finance Committee Meeting	21/09/2024	4	4	100.00
10	Finance Committee Meeting	27/09/2024	4	4	100.00
11	Finance Committee Meeting	28/09/2024	4	4	100.00
12	Finance Committee Meeting	10/10/2024	4	4	100.00
13	Finance Committee Meeting	19/10/2024	4	4	100.00
14	Finance Committee Meeting	23/10/2024	4	4	100.00
15	Finance Committee Meeting	07/11/2024	4	4	100.00
16	Finance Committee Meeting	15/11/2024	4	4	100.00
17	Finance Committee Meeting	21/11/2024	4	4	100.00
18	Finance Committee Meeting	06/12/2024	4	4	100.00
19	Finance Committee Meeting	12/12/2024	4	4	100.00
20	Finance Committee Meeting	20/12/2024	4	4	100.00
21	Finance Committee Meeting	30/12/2024	4	4	100.00
22	Finance Committee Meeting	13/01/2025	4	4	100.00
23	Finance Committee Meeting	29/01/2025	4	4	100.00
24	Finance Committee Meeting	06/02/2025	4	4	100.00
25	Finance Committee Meeting	13/02/2025	4	4	100.00
26	Finance Committee Meeting	15/02/2025	4	4	100.00
27	Finance Committee Meeting	20/02/2025	4	3	75.00
28	Finance Committee Meeting	17/03/2025	4	3	75.00
29	Finance Committee Meeting	25/03/2025	4	4	100.00
30	Finance Committee Meeting	26/03/2025	4	3	75.00

31	Finance Committee Meeting	28/03/2025	4	3	75.00
32	Finance Committee Meeting	29/03/2025	4	3	75.00
33	Finance Committee Meeting	31/03/2025	4	3	75.00
34	Audit Committee Meeting	30/07/2024	3	3	100.00
35	Audit Committee Meeting	22/08/2024	3	3	100.00
36	Audit Committee Meeting	16/12/2024	3	3	100.00
37	Environmental, Social and Governance Committee Meeting	22/08/2024	4	4	100.00
38	Environmental, Social and Governance Committee Meeting	16/12/2024	4	4	100.00
39	IT Strategy Committee Meeting	17/12/2024	4	4	100.00
40	Loan Pricing Committee Meeting	10/06/2024	4	4	100.00
41	Loan Pricing Committee Meeting	30/07/2024	4	4	100.00
42	Loan Pricing Committee Meeting	30/10/2024	4	4	100.00
43	Loan Pricing Committee Meeting	30/01/2025	4	4	100.00
44	Nomination and Remuneration Committee Meeting	10/06/2024	2	2	100.00
45	Nomination and Remuneration Committee Meeting	22/08/2024	2	2	100.00
46	Nomination and Remuneration Committee Meeting	17/12/2024	3	3	100.00
47	Corporate Social Responsible Committee Meeting	27/03/2025	5	4	80.00
48	Asset Liability Management Committee Meeting	10/06/2024	3	3	100.00
49	Asset Liability Management Committee Meeting	30/07/2024	3	3	100.00

50	Asset Liability Management Committee Meeting	30/10/2024	3	3	100.00
51	Asset Liability Management Committee Meeting	30/01/2025	3	3	100.00

D ATTENDANCE OF DIRECTORS

S. No	Name of the Director	Board Meetings			Committee Meetings			Whether attended AGM held on 30/09/2025 (Y/N/NA)
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	ARUNKUMAR PADMANABHAN	10	8	80.00	45	43	95.56	Yes
2	BHAGAVATHI NARAYANAN SUBRAMANIAM	10	10	100.00	48	48	100.00	Yes
3	RAMANATHAN ANNAMALAI	10	10	100.00	42	42	100.00	Yes
4	JAYA JANARDANAN	8	8	100.00	3	3	100.00	No
5	JAGRITI BHANDARI	10	9	90.00	42	34	80.95	Yes

X REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

A *Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross salary	Commission	Stock Option/Sweat equity	Others	Total amount
1	ARUNKUMAR PADMANABHAN	Whole-time director	14500000	0	0	0	14500000.00
2	BHAGAVATHI NARAYANAN SUBRAMANIAM	Whole-time director	14500000	0	0	0	14500000.00
	Total		29000000.00	0.00	0.00	0.00	29000000.00

B *Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total amount
1	VARSHA TANK	Company Secretary	2220000	0	0	0	2220000.00
	Total		2220000.00	0.00	0.00	0.00	2220000.00

C *Number of other directors whose remuneration details to be entered

2

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total amount
1	RAMANATHAN ANNAMALAI	Director	1000000	0	0	0	1000000.00
2	JAYA JANARDANAN	Director	1000000	0	0	0	1000000.00
	Total		2000000.00	0.00	0.00	0.00	2000000.00

XI MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

A *Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year

Yes

No

B If No, give reasons/observations

The Company broadly complied with applicable laws, except: CSR spend of Rs. 49,93,326 for FY 2024-25 remains unspent and must be transferred to Schedule VII funds by Sept 30, 2025; NCD proceeds were used before filing PAS-3, causing non-compliance and NRC composition was inadequate for its June 10 and Aug 22, 2024 meetings due to an Independent Director's resignation.

XII PENALTY AND PUNISHMENT – DETAILS THEREOF

A *DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/ DIRECTORS/OFFICERS

Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order (DD/MM/YYYY)	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

B *DETAILS OF COMPOUNDING OF OFFENCES

Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order (DD/MM/YYYY)	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in rupees)

XIII Details of Shareholder / Debenture holder

Number of shareholder/ debenture holder

75

XIV Attachments

(a) List of share holders, debenture holders

Details of Shareholder or
Debenture holder.xlsm

(b) Optional Attachment(s), if any

Clarification Letter for Business
activity and Non Convevertible
Debenture(1).pdf
Letter disclosing name of
Designated Person-1_.pdf
Directors' Report FY 24-25(1).pdf
MGT 8 - Svasti Microfinance -
2024-25.pdf
Secretarial Audit Report for 2024-
25 (2).pdf

XV COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this return, the Company has complied with applicable provisions of the Act during the financial year.
- (c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.
- (d) Where the annual return discloses the fact that the number of members, (except in case of one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

I/ We have examined the registers, records and books and papers of

SVASTI MICROFINANCE
PRIVATE LIMITED

as required to be

maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on

(DD/MM/YYYY) 31/03/2025

In my/ our opinion and to the best of my information and according to the examinations carried out by me/ us and explanations furnished to me/ us by the company, its officers and agents, I/ we certify that:

A The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

B During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:

- 1 its status under the Act;
- 2 maintenance of registers/records & making entries therein within the time prescribed therefor;
- 3 filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within/beyond the prescribed time;
- 4 calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
- 5 closure of Register of Members / Security holders, as the case may be.
- 6 advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act;
- 7 contracts/arrangements with related parties as specified in section 188 of the Act;
- 8 issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances;
- 9 keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act
- 10 declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
- 11 signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
- 12 constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
- 13 appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act;
- 14 approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
- 15 acceptance/ renewal/ repayment of deposits;
- 16 borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;
- 17 loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act ;
- 18 alteration of the provisions of the Memorandum and/ or Articles of Association of the Company;

To be digitally signed by

Name

Rinkesh Gala

Date (DD/MM/YYYY)

28/11/2025

Place

Mumbai

Whether associate or fellow:

Associate Fellow

Certificate of practice number

2*1*8

XVI Declaration under Rule 9(4) of the Companies (Management and Administration) Rules, 2014

* (a) DIN/PAN/Membership number of Designated Person

48689

* (b) Name of the Designated Person

VARSHA MAHENDRA WAGHELA

Declaration

I am authorised by the Board of Directors of the Company vide resolution number* 06 dated* (DD/MM/YYYY) 31/07/2025 to sign this form and declare that all the requirements of Companies Act, 2013

and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1 Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2 All the required attachments have been completely and legibly attached to this form.

*To be digitally signed by

*Designation

(Director /Liquidator/ Interim Resolution Professional (IRP)/Resolution Professional (RP))

Director

*DIN of the Director; or PAN of the Interim Resolution Professional (IRP) or Resolution Professional (RP) or Liquidator

0*2*6*1*

*To be digitally signed by

Company Secretary Company secretary in practice

*Whether associate or fellow:

Associate Fellow

Membership number

4*6*9

Certificate of practice number

Note: Attention is drawn to provisions of Section 448 and 449 of the Companies Act, 2013 which provide for punishment for false statement / certificate and punishment for false evidence respectively.

For office use only:

eForm Service request number (SRN)

eForm filing date (DD/MM/YYYY)

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company